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VERIFIED STATEMENT FOR FUK-59

APPLICANT OR PATENTEE: Masayuki TODA et al.

SERIAL NO. OR PATENT NO.:

FILED OR ISSUED: September 10, 1999TITLE: SUBSTRATE BODY FLOATING APPARATUS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled: SUBSTRATE BODY FLOATING APPARATUS described in:

☒ The specification filed herewith  
☒ Application Serial No. \_\_\_\_\_, filed September 10, 1999.  
☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☐ no such person, concern, or organization  
☒ persons, concerns, or organizations listed below \*  
 \* NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

FULL NAME: Masayuki TODA  
 ADDRESS: 7-139, Higashi 2-chome, Yonezawa-shi, Yamagata-ken 992-0026 JAPAN  
☒ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON PROFIT ORG.

FULL NAME: KABUSHIKI KAISHA WATANABE SHOKO  
 ADDRESS: 2-16, Nihonbashi-Muromachi 4-chome, Chuo-ku, Tokyo 103-0022 JAPAN  
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NON PROFIT ORG.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Masayuki TODA  
 NAME OF INVENTOR

Masayuki Toda  
 SIGNATURE OF INVENTOR

11/16/99  
 DATE

Yoichi KANNO  
 NAME OF INVENTOR

Yoichi Kanno  
 SIGNATURE OF INVENTOR

11/29/99  
 DATE

Masaru UMEDA  
 NAME OF INVENTOR

Masaru Umeda  
 SIGNATURE OF INVENTOR

10-7-1999  
 DATE

Tadahiro OHMI  
 NAME OF INVENTOR

Tadahiro Ohmi  
 SIGNATURE OF INVENTOR

Nov. 25, 1999  
 DATE

APPLICANT OR PATENTEE: Masayuki TODA et al.

SERIAL NO. OR PATENT NO. \_\_\_\_\_

FILED OR ISSUED: September 10, 1999

TITLE: SUBSTRATE BODY FLOATING APPARATUS



Attorney's Docket No. FUK-59

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:  
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: KABUSHIKI KAISHA WATANABE SHOKO

ADDRESS OF CONCERN: 2-16, Nihonbash-Muromachi 4-chome, Chuo-ku, Tokyo 103-0022 JAPAN

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled: SUBSTRATE BODY FLOATING APPARATUS by inventor(s) Masayuki TODA, Masaru UMEDA, Yoichi KANNO, and Tadahiro OHMI described in:

- ☐ the specification filed herewith.  
☒ Application Serial No. \_\_\_\_\_, filed September 10, 1999.  
☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by an concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

FULL NAME: Masayuki TODA

ADDRESS: 7-139, Higashi 2-chome, Yonezawa-shi, Yamagata-ken 992-0026 JAPAN

☒ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON PROFIT ORGANIZATION

FULL NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Masaki KUSUHARA

TITLE OF PERSON OTHER THAN OWNER: President

ADDRESS OF PERSON SIGNING: 2-16, Nihonbashi-Muromachi 4-chome, Chuo-ku Tokyo 103-0022 JAPAN

SIGNATURE: Masaki Kusuhara

DATE: Dec. 2nd, 1999